



Network control with AI/ML – Standardization in ITU and related research–

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Outline

- Overview
- Activities at ITU-T FG ML5G
- ITU-T Recommendations developed in SG13
- Related AI NW research work – an example
- Related activities in other SDOs
- Conclusion

NOTE -

FG ML5G: Focus Group on Machine Learning for future networks including 5G

ITU-T SG13: Study Group 13 is responsible for standardization of network architecture

AI/ML: Artificial Intelligence/Machine Learning

AI NW: AI-based network

SDOs: Standards development organizations

Overview

ITU activities on AI/ML based 5G/IMT2020 network control and management



Note: IMT-2020 is ITU's terminology for 5G network
Sup. = Supplement is like an ITU technical report
Rec. = Recommendation is ITU standard document.

FG ML5G activities overview

<https://www.itu.int/en/ITU-T/focusgroups/ml5g/Pages/default.aspx>

- **Work scope**

Study of architecture, interfaces, use cases, protocols, algorithms, data formats, interoperability, performance, evaluation, security

Three working groups (WGs):

WG1: Use cases, services and requirements

WG2: Data formats and ML technologies

WG3: ML-aware network architecture

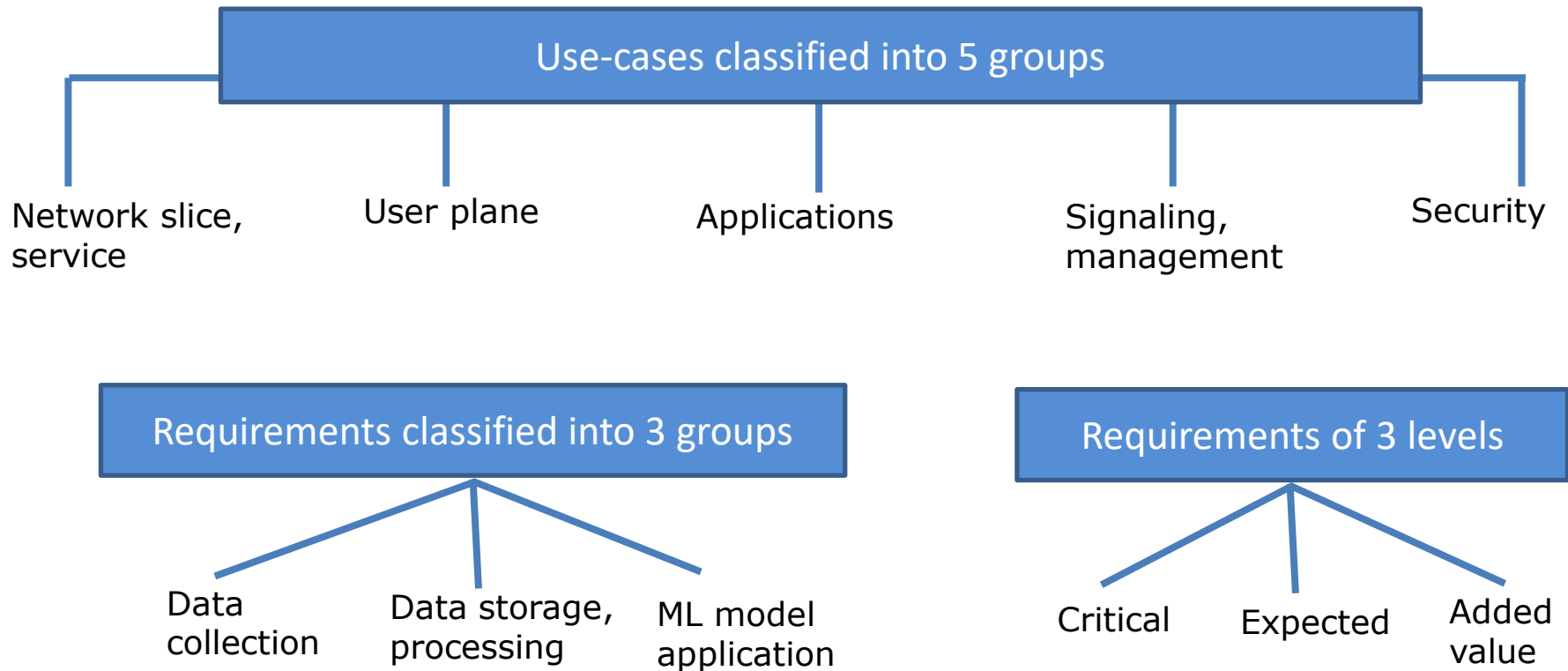
- **Meetings and workshops**

- 1st : January 2018, Geneva
- 2nd : April 2018, Xian, China
- 3rd : August 2018, San Jose, USA
- 4th : November 2018, Tokyo
- ...
- 8th : March 2020, online
- 9th (Final): June 2020, online

1. Y.sup55: "Machine learning in future networks including IMT-2020: use cases" (Oct 2019)
2. Y.3172: "Architectural framework for machine learning in future networks including IMT-2020" (Jan 2020)
3. Y.3173: "Framework for evaluating intelligence levels of future networks including IMT-2020" (Feb 2020)
4. Y.3174: "Framework for data handling to enable machine learning in future networks including IMT-2020" (Feb 2020)
5. Y.3175: "Functional architecture of machine learning based quality of service assurance for the IMT-2020 network" (Apr 2020)
6. Y.3176: "ML marketplace integration in future networks including IMT-2020" (Sep 2020)
7. Y.ML-IMT2020-RAFR (Resource adaptation and failure recovery),
8. Y.ML-IMT2020-serv-prov (Network service provisioning)
9. ...

ITU-T Y.sup55: Machine learning in future networks including IMT-2020: use cases

- More than 30 use-cases and their requirements compiled



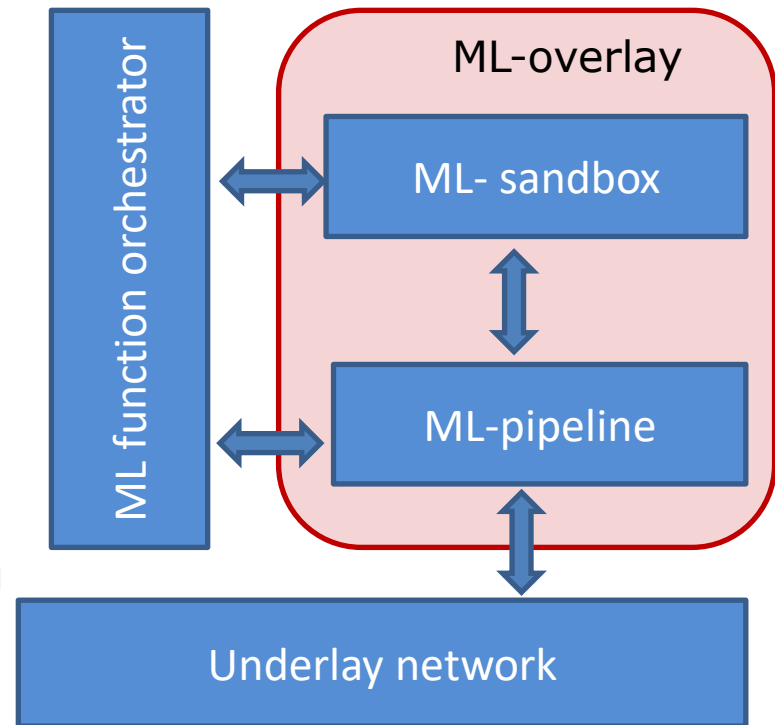
Use cases – some examples

Use-case titles	Description	Requirement examples
Radio resource management for network slicing	Providing performance guarantee with high reliability, while ensuring efficient utilization of radio resources	Support the continuous collection of data, analysis of network slice behaviour and resource utilization patterns
End-to-end network service design automation	Automatically translating service requirements of application services to network parameters/ requirements	Support data models to specify service requirements, integrate automated network configuration methods
End-to-end fault detection and recovery	Predictive detection and root cause analysis, and automated recovery decision making	Support collection of performance data on real-time basis, generation of training data using testing environments

ITU-T Rec. Y.3172: Architectural framework for machine learning in future networks including IMT-2020 (1/4)

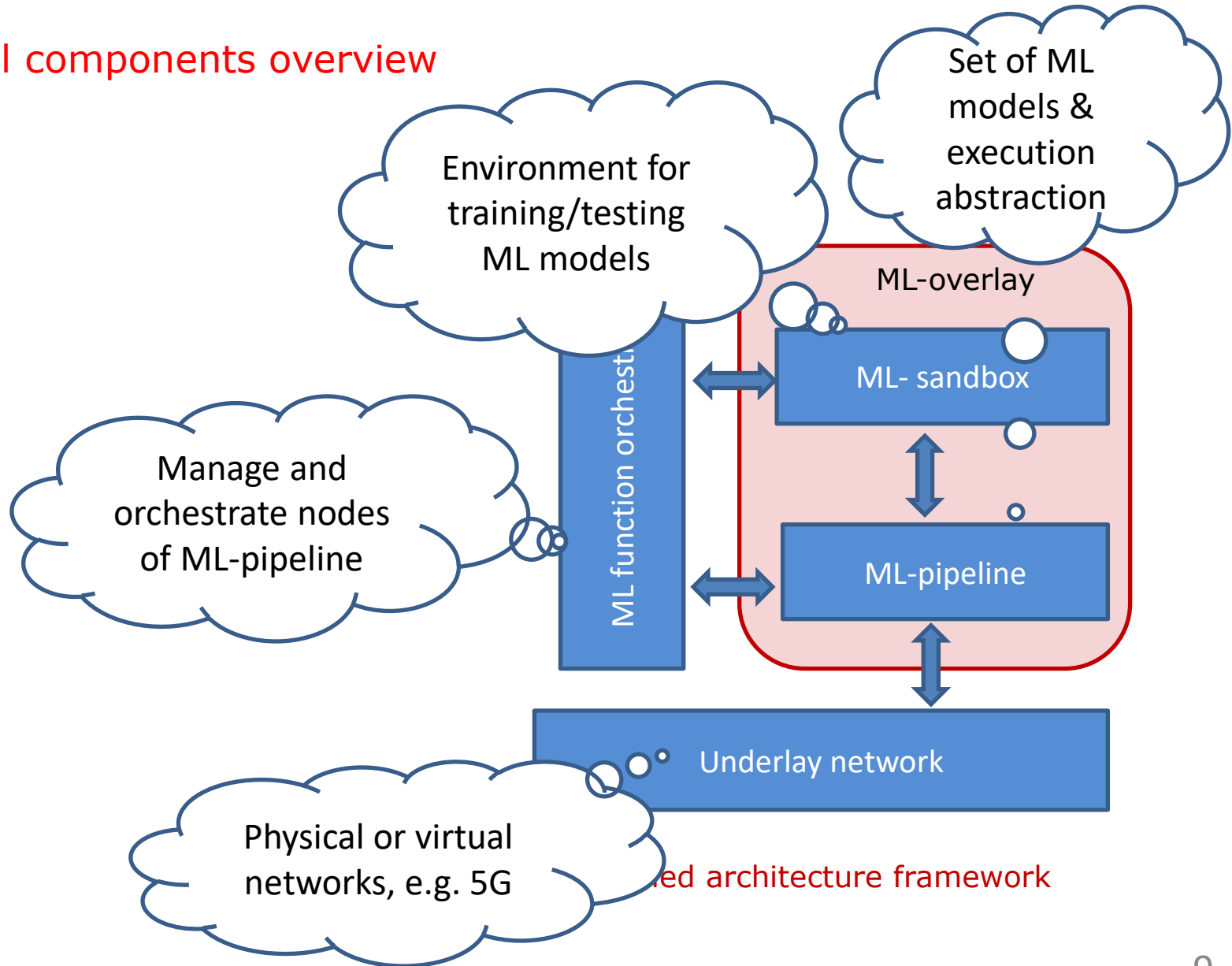
Scope

- Listing requirements
- Specifying architectural components needed to satisfy the requirements
- Specifying architectural framework with the integration of components
- Providing guidelines for applying architectural framework in networks



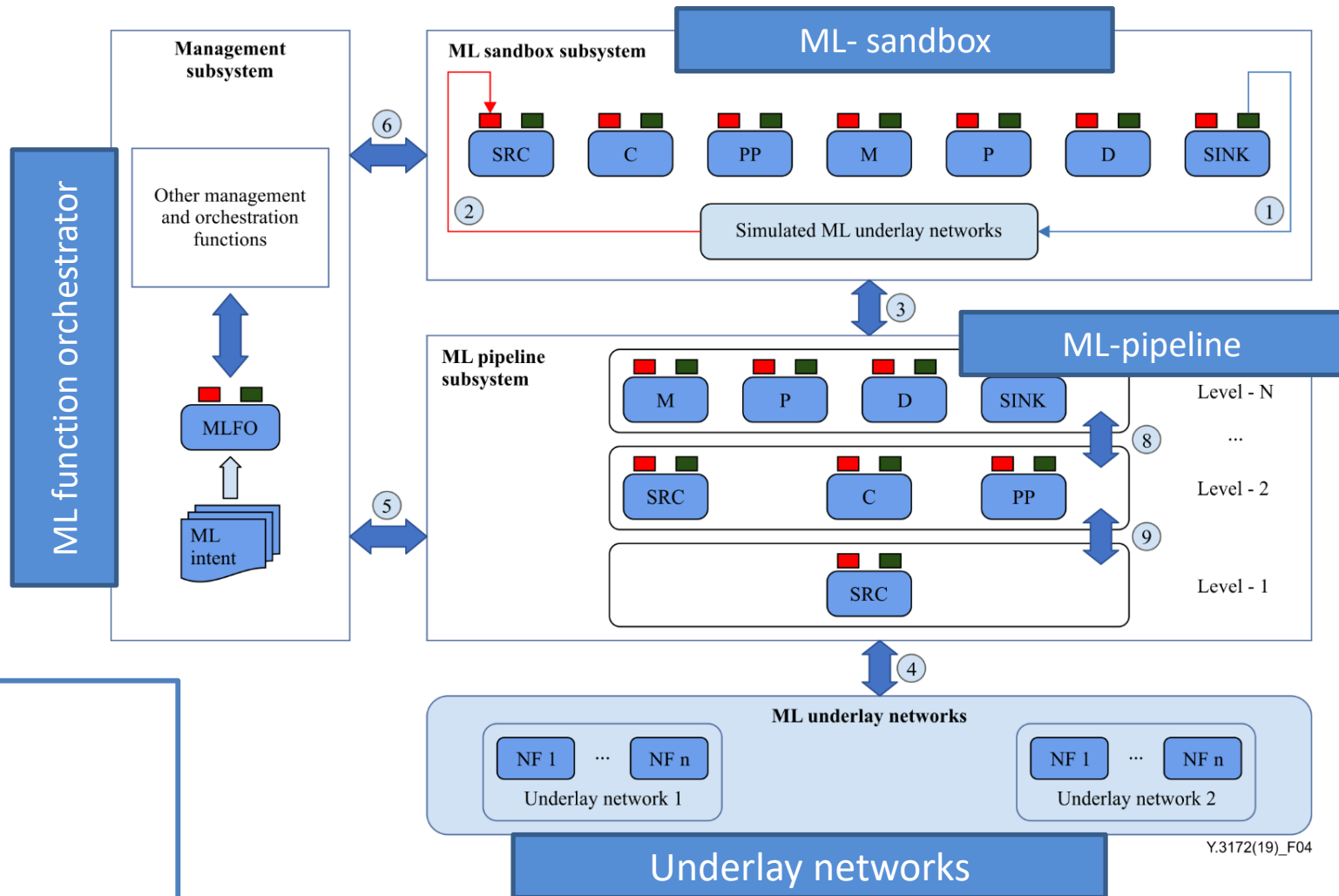
Simplified architecture framework

Functional components overview



ML architecture framework

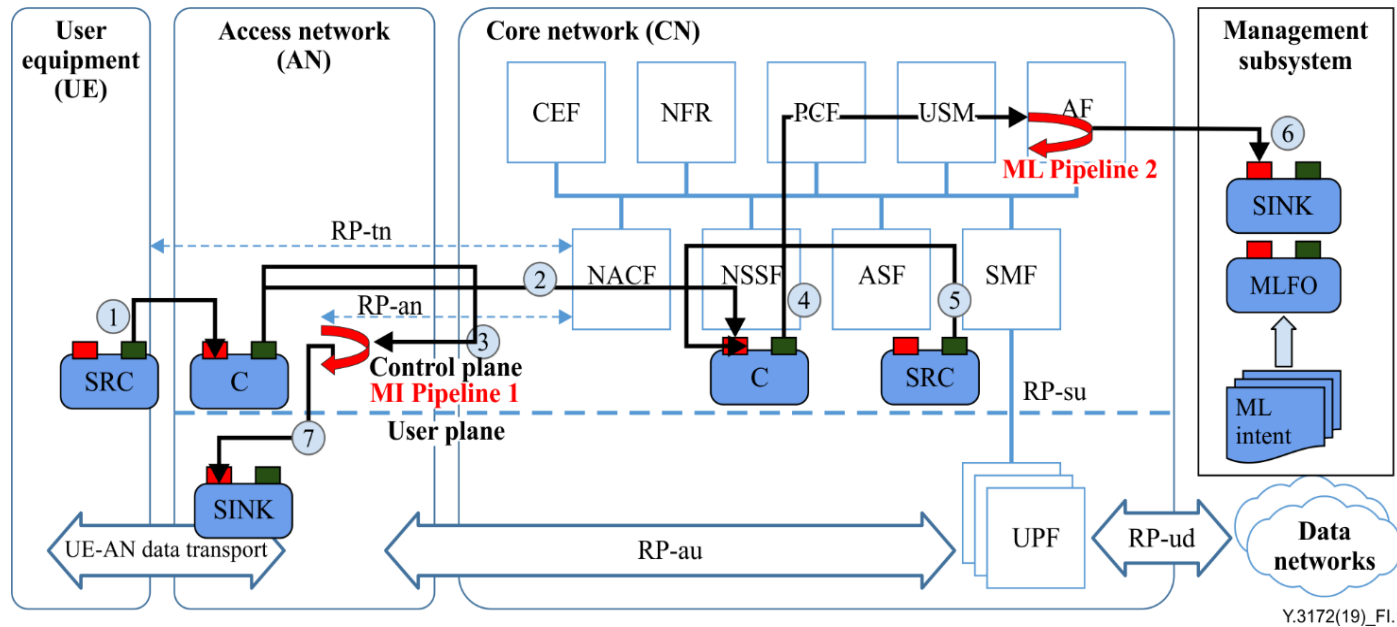
High-level architecture framework & components



SRC: Source
 C: Collector
 PP: Preprocessor
 M: Model
 P: Policy
 D: Distributor
 NF: Network function

ITU-T Y.3172: Architectural framework for machine learning in future networks including IMT-2020 (4/4)

Example of the high-level architecture realization in an IMT-2020 network



1. Collect location information from UEs
2. Collect channel measurement from AN
3. Analyze to make intelligent scheduling decisions and execute through 7.

4. Collect DL packet information from GW
5. Collect AN information
6. Analyze to make intelligent QoS configurations

ITU-T Y.3173: Framework for evaluating intelligence levels of future networks including IMT-2020 (1/4)

Scope

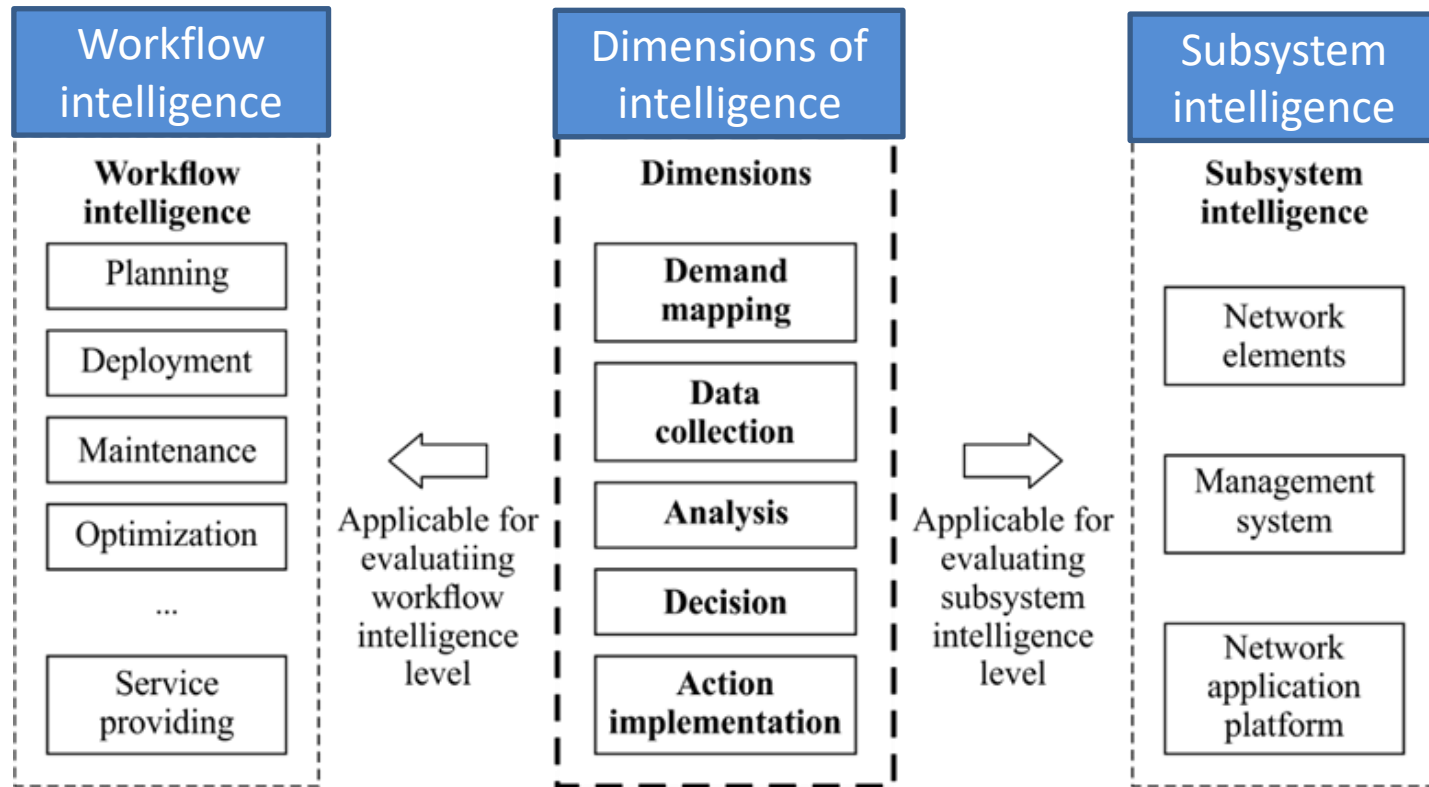
- Development trend for evaluating network intelligence levels
- Evaluation methods
- Architectural view

Importance of evaluation framework

- To **provide an evaluation basis**
- To **help industry to reach a consensual** and unify understanding, formulate relevant strategies and development plans
- To **provide decision mechanisms** to operators, equipment vendors and other network industry participants for **planning of network technology features and products' roadmaps**

ITU-T Y.3173: Framework for evaluating intelligence levels of future networks including IMT-2020 (2/4)

Dimensions for evaluating network intelligence levels



Y.3173(20)_F7-1

NOTE: SAE (Society of automotive engineers) documents on intelligence levels evaluation methods are taken as reference.

ITU-T Y.3173: Framework for evaluating intelligence levels of future networks including IMT-2020 (3/4)



Actors for classifying dimensions of network intelligence capability levels

Actors in network intelligence capability level	Roles
Human	Rules definition, decision and action implementation all carried out by human
Human and system	Rules definition by human, decision and actions implementation carried out by system automatically
System	Rules definition, decision and action implementation all carried out automatically by system

ITU-T Y.3173: Framework for evaluating intelligence levels of future networks including IMT-2020 (4/4)



Network intelligence level chart

Network intelligence levels		Dimensions of intelligence				
		Action implementation	Data collection	Analysis	Decision	Demand mapping
L0	Manual operation	Human	Human	Human	Human	Human
L1	Assisted operation	Human and System	Human & System	Human	Human	Human
L2	Preliminary intelligence	System	Human & System	Human & System	Human	Human
L3	Intermediate intelligence	System	System	Human & System	Human & System	Human
L4	Advanced intelligence	System	System	System	System	Human & System
L5	Full intelligence	System	System	System	System	System

ITU-T Y.3174: Framework for data handling to enable machine learning in future networks including IMT-2020 (1/2)



Scope:

- High-level requirements of data handling and data models
- Framework for data handling
- Guidelines and example usage

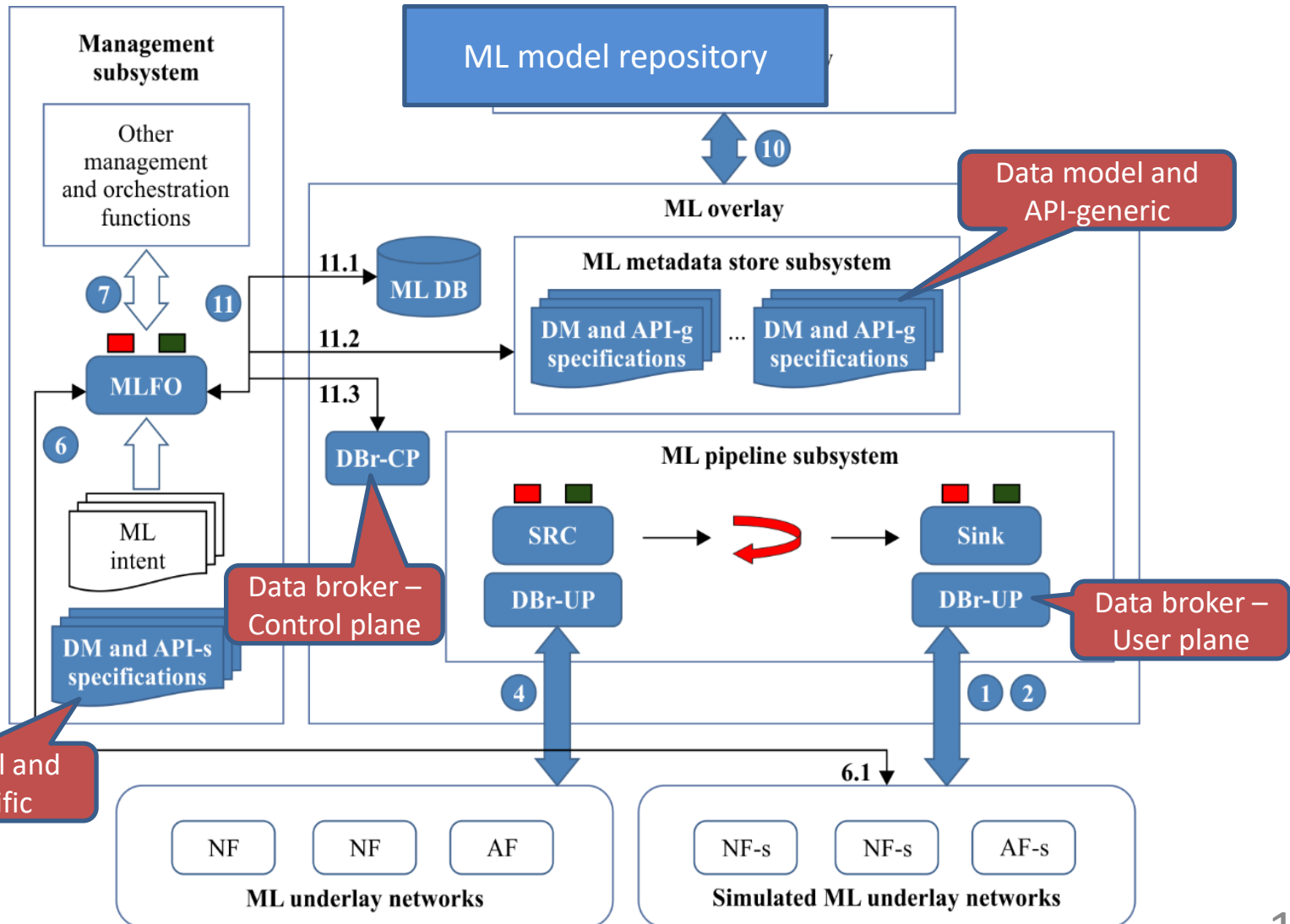
Challenges addressed:

- **Diversity in data produced by various components**
 - Increased flexibility and agility leading to complicate configuration, dynamically evolving sources of data and applicable network configuration parameters and policy

Requirements listed:

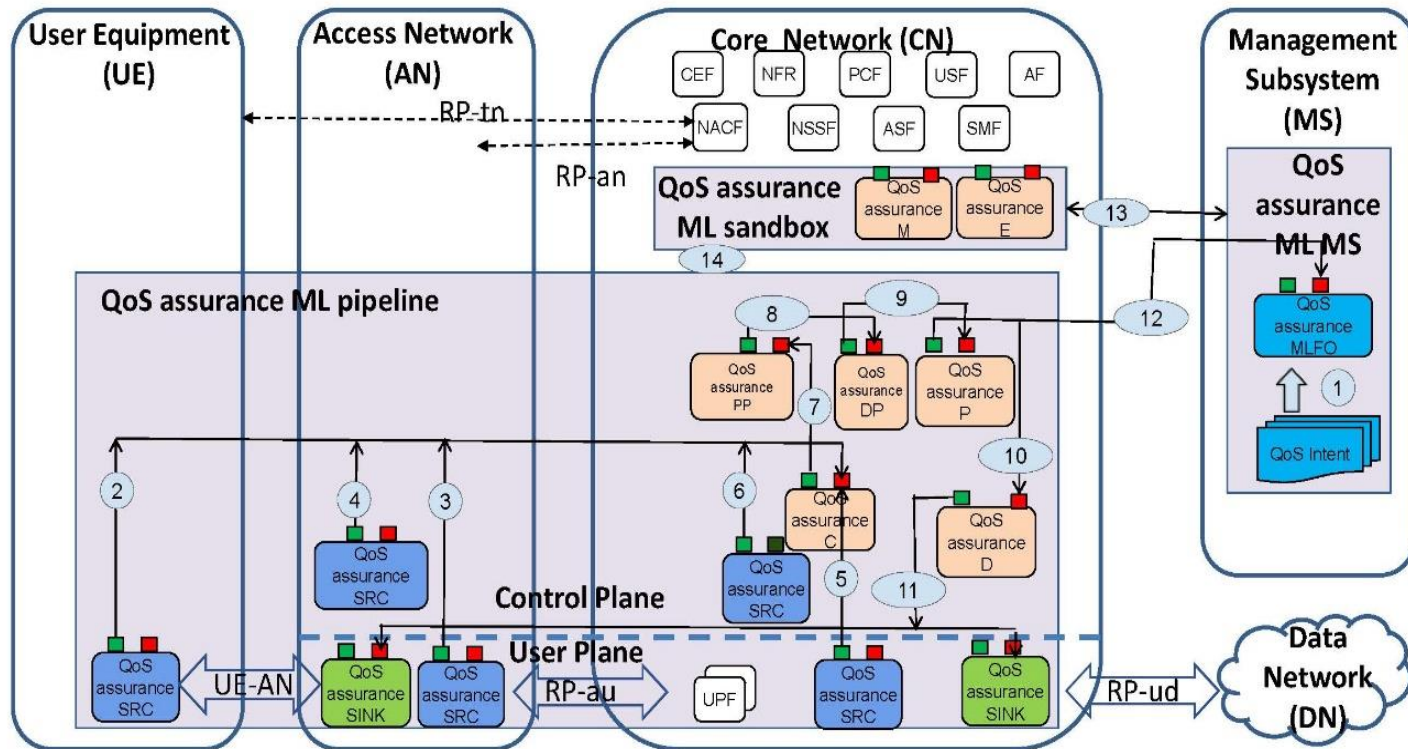
- 56 requirements captured, analyzed and classified into 3 groups
 - ML input data collection
 - ML processing
 - ML data output

High-level architecture of data handling



Scope:

- Architecture framework
- Procedures



- | | | |
|-------------------------------------|--|-----------------------------------|
| ■ QoS assurance Service for egress | PP: QoS data pre-processor | P: QoS policy |
| ■ QoS assurance Service for ingress | DP: QoS anomaly detection and prediction | D: QoS policy distributor |
| SRC: QoS source of data | SINK: QoS assurance target of ML output | M: QoS assurance ML modelling |
| C: QoS data collector | | E: QoS assurance model evaluating |

ITU-T Y.3176: ML marketplace integration in networks (1/2)

* **ML marketplace**: a repository of ML, interoperable AI models

Scope:

- Challenges and motivations
- High level requirements
- Architecture and interfaces

Challenges to address:

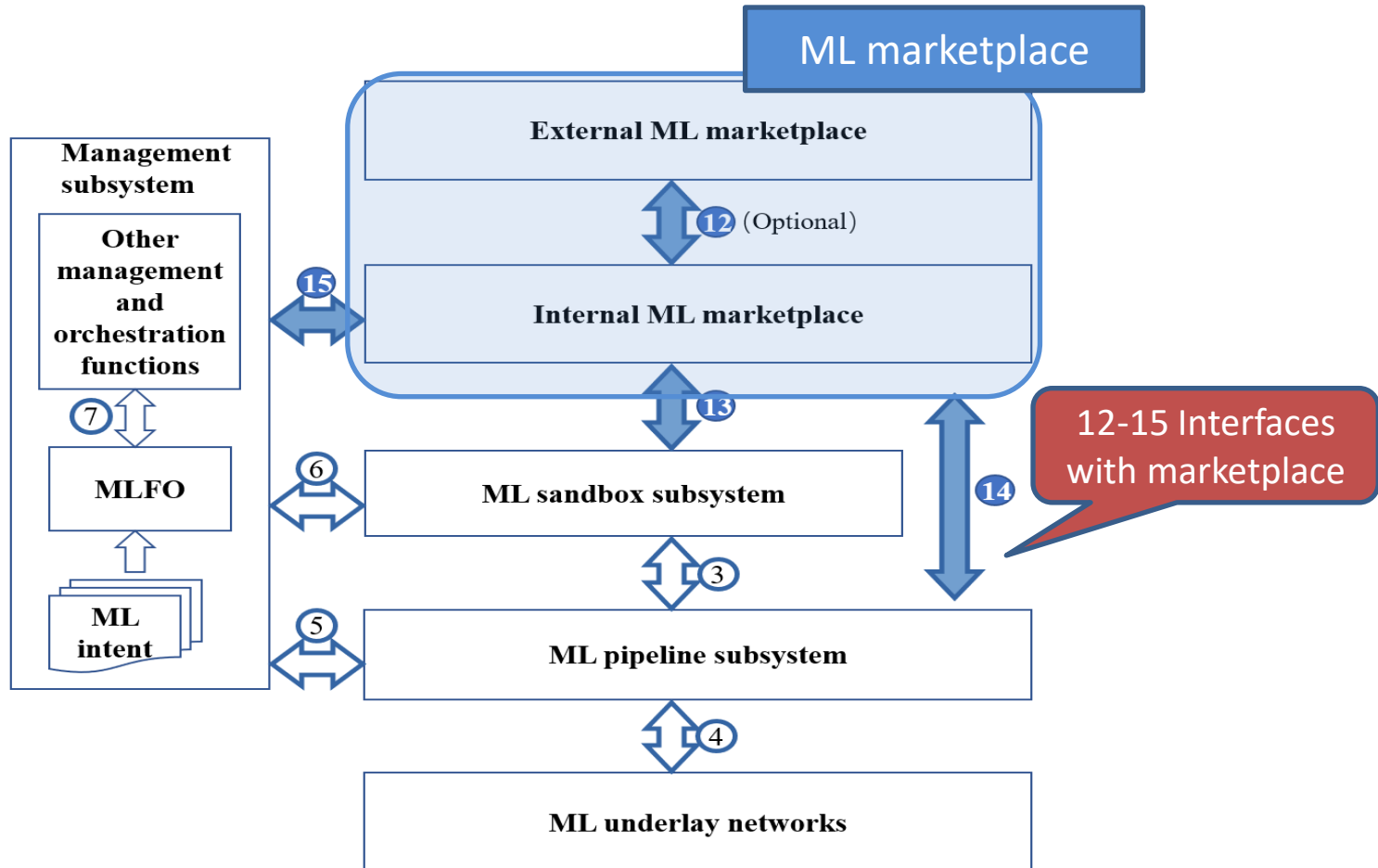
- Need of interoperable mechanisms for ML model identification, selection, chaining, testing and deployment from various ML marketplaces into the operators' networks.

Approach used:

- ML Intent and MLFO used to select ML models from marketplace
- Standard metadata used to interface between MLFO and ML marketplace
- Interfaces to push ML models from ML marketplace to ML-sandbox/ML-pipeline

ITU-T Y.7176: ML marketplace integration in networks (2/2)

Architecture for ML marketplace integration in network

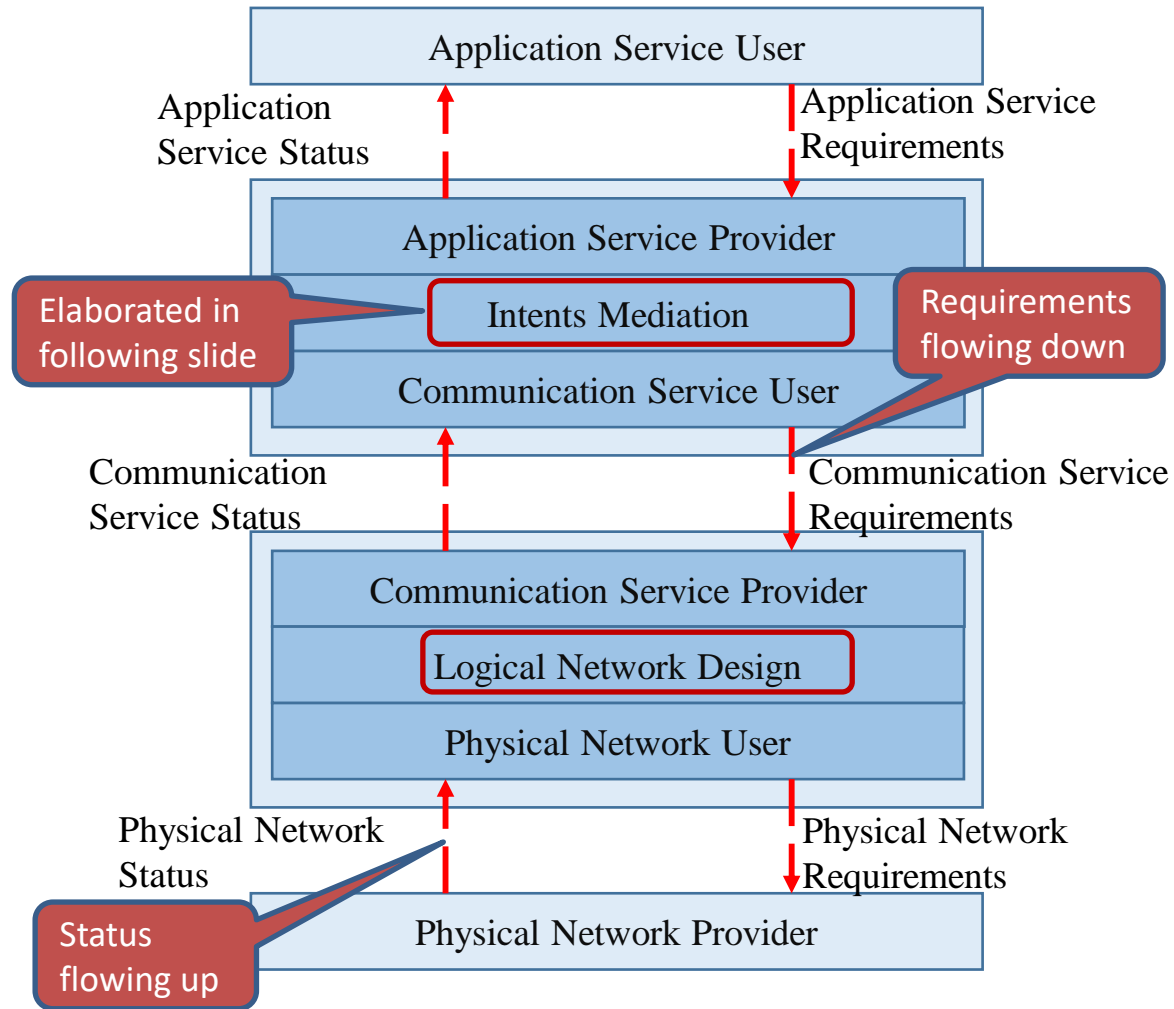


ITU-T Y.ML-IMT2020-serv-prov draft: Architecture framework of user-oriented network service provisioning (1/2)

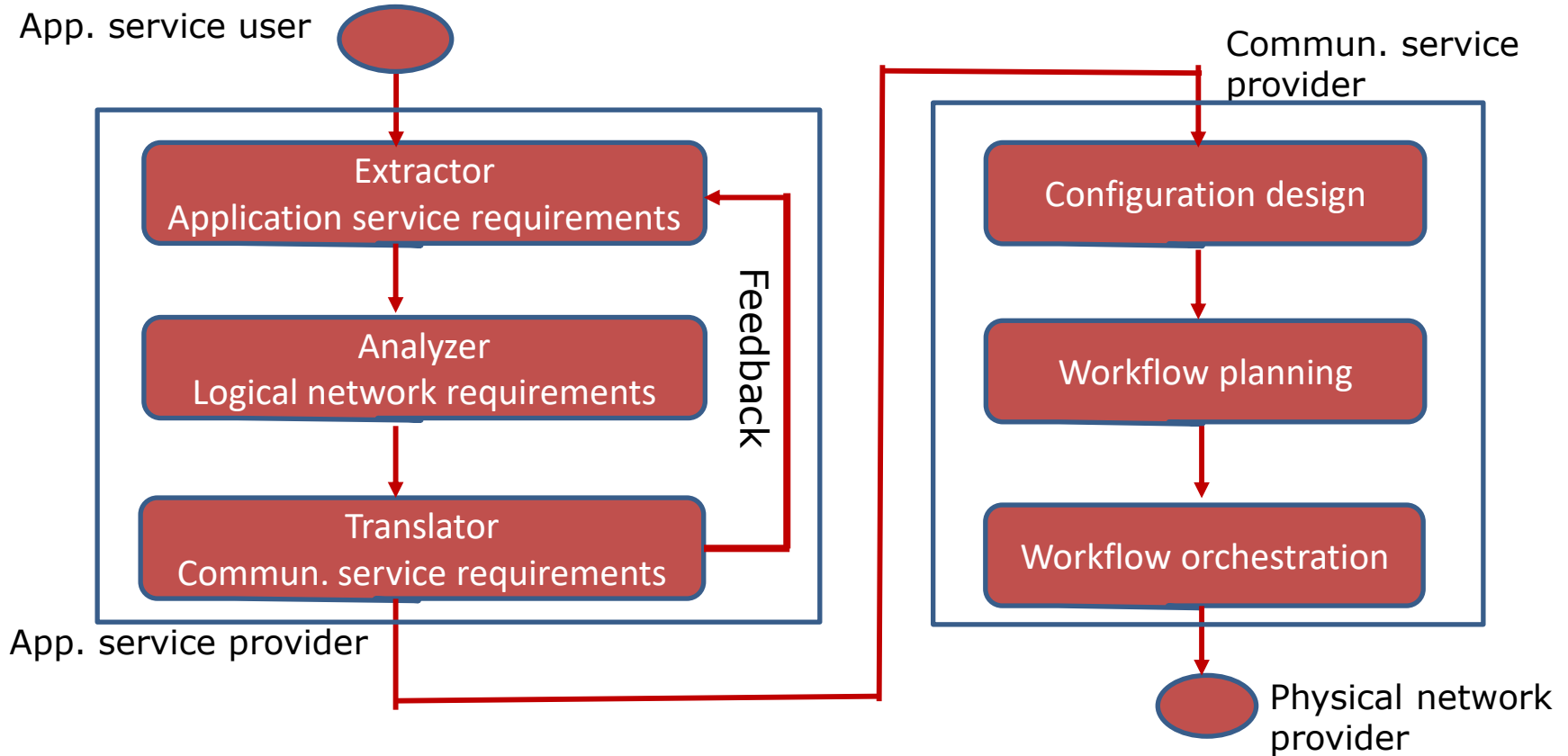
Scope:

- Architecture framework of user-oriented network service provisioning with AI-based automatic generation of
 - AI-based framework for different layers of provider/user interaction
 - Network requirements
 - Configuration and workflow

Framework for network service provider/user interaction



ITU-T Y.ML-IMT2020-serv-prov draft: Architecture framework of user-oriented network service provisioning (2/2)



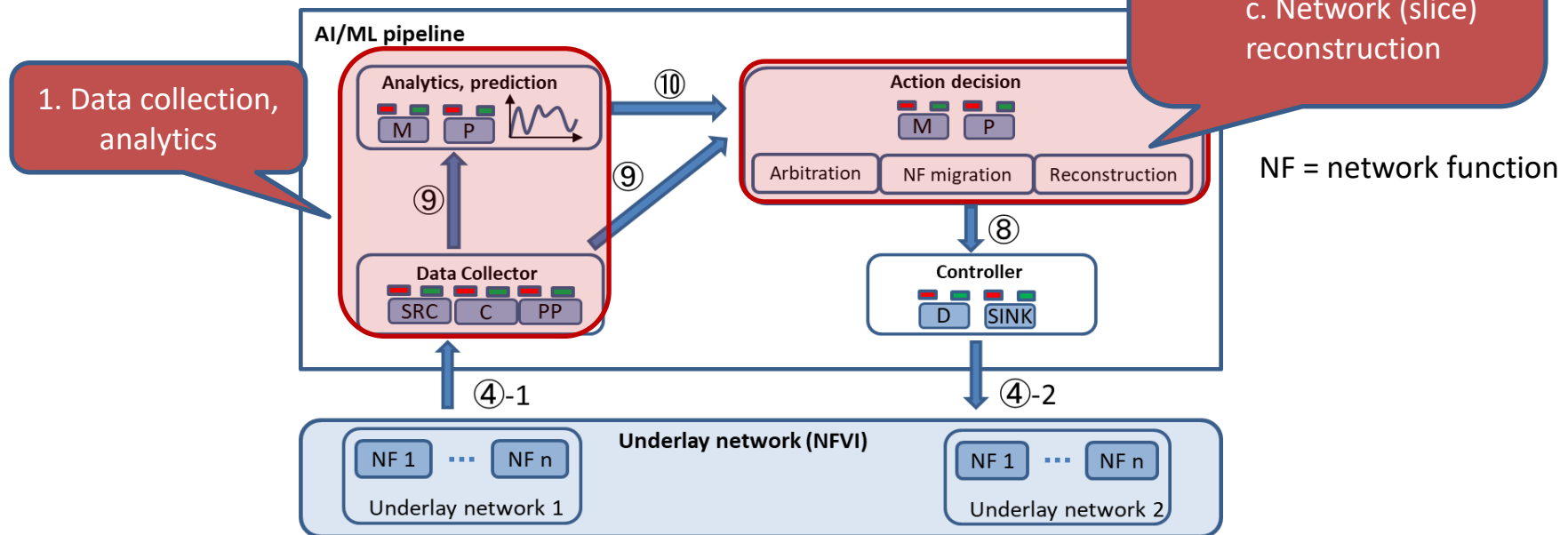
Workflow for communication service requirements generation

Workflow for logical network design and deployment

Scope:

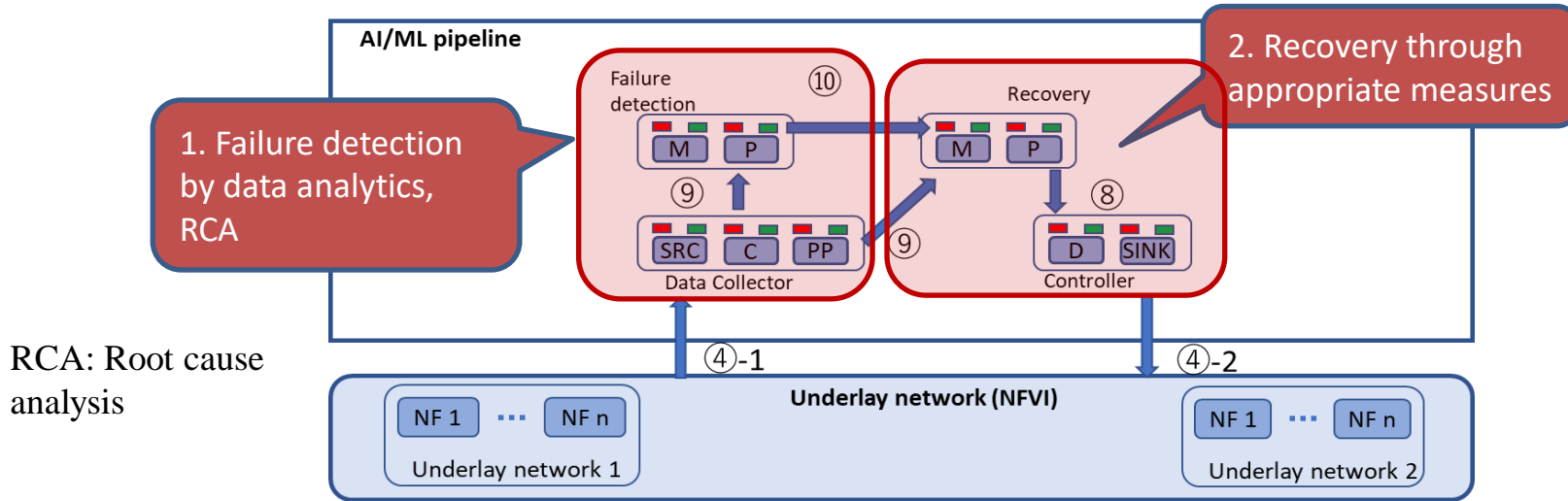
- Architecture framework of **AI-based network automation** for
 - Resource management**, and
 - Fault management**

AI-based resource management (adaptation) framework

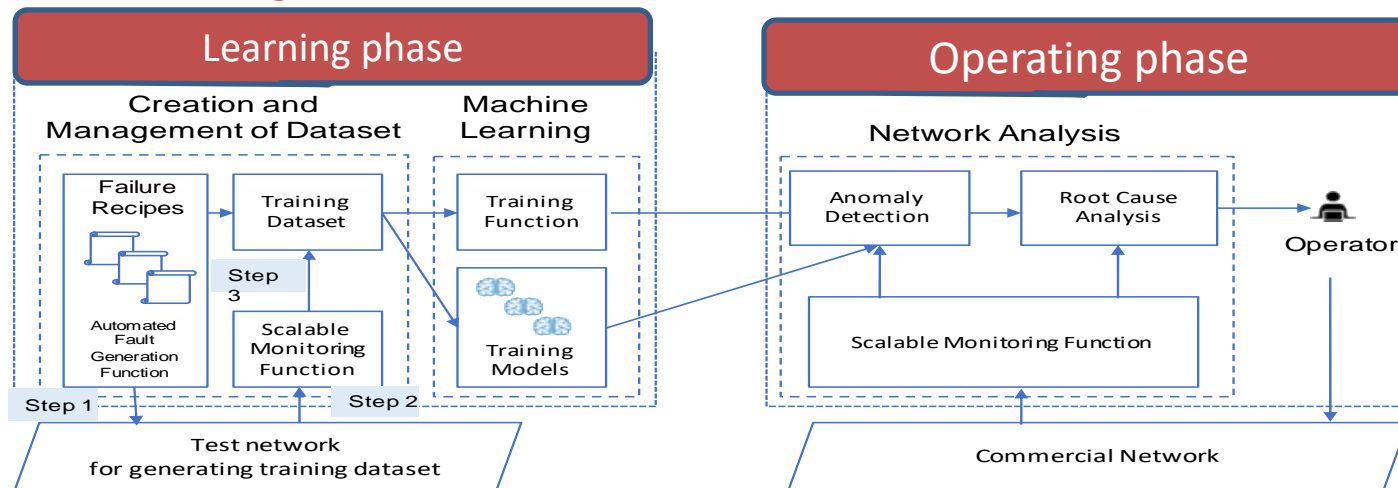


ITU-T Y.ML-IMT2020-RAFR draft: Architecture framework for resource and fault management (2/2)

AI-based fault management (failure detection and recovery) framework



ML model training, RCA, and action execution



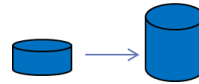
Y.ML-IMT2020-RAFR
Resource management related research overview



Resource adjustment approaches (an example of computational resources)

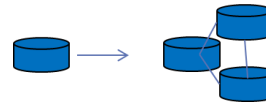
- Computational resources used in networks are **finite**
- Need to automatically control and manage the computational resources that operate the virtual network functions (VNFs).
 - Requires a control mechanism that **adjusts the amount of computational resources dynamically and quickly** for keeping up with utilization variations and fault conditions

● Vertical Scaling



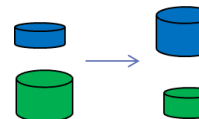
Dynamic increase/decrease in allocated CPU resource for VM
(Scale up & scale down)

● Horizontal Scaling



Dynamic adding/removing of allocated VMs for service
(Scale out & scale in)

● Internetwork Scaling

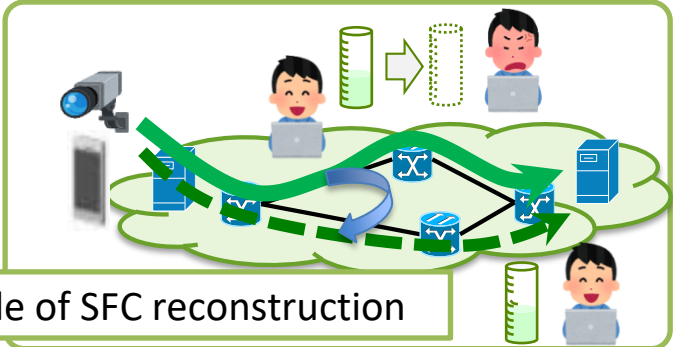
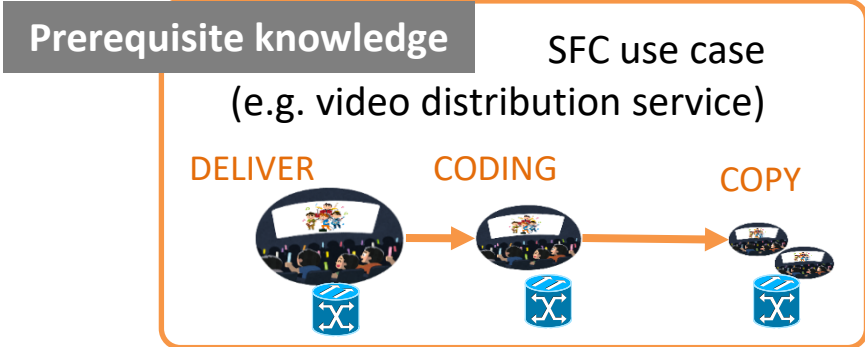


**resource arbitration and
function migration**

- Inter-service computing-resource arbitration at node
- Inter-node VNF migration & SF chain reconstruction

Speeding up processing by minimizing number of function-chain reconstructions for target services

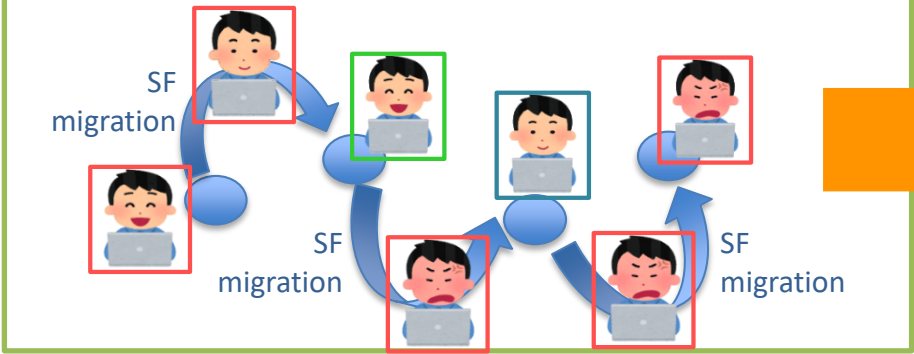
Resource arbitration & SF migration



Conventional

For problems humans cannot solve w/ experience

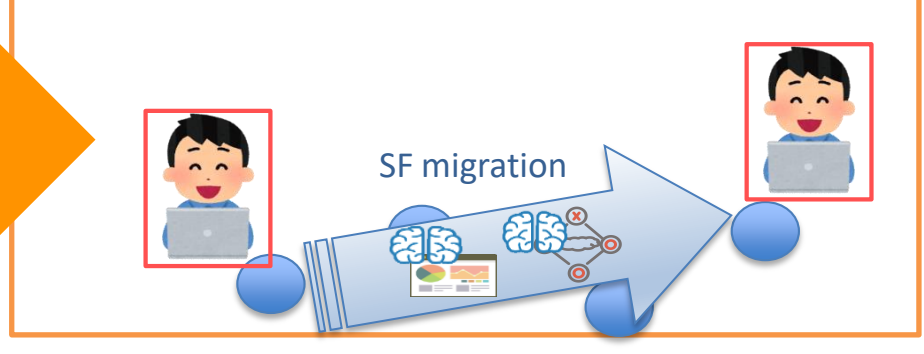
- Sequential optimal solutions
- ⇒ Larger number of reconstructions
- Optimal solution for the future
- ⇒ Requires hours to days



This work

ML (EDRNN) simultaneously achieves

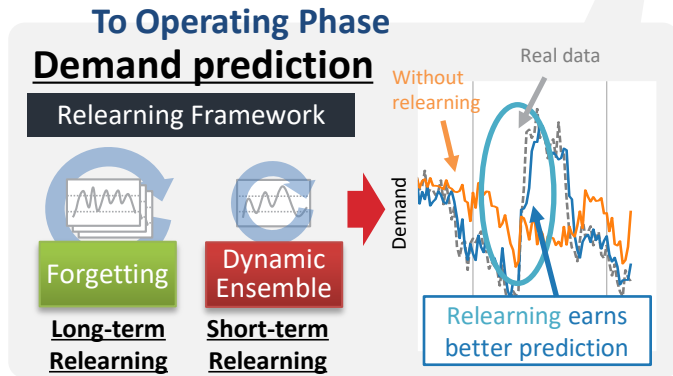
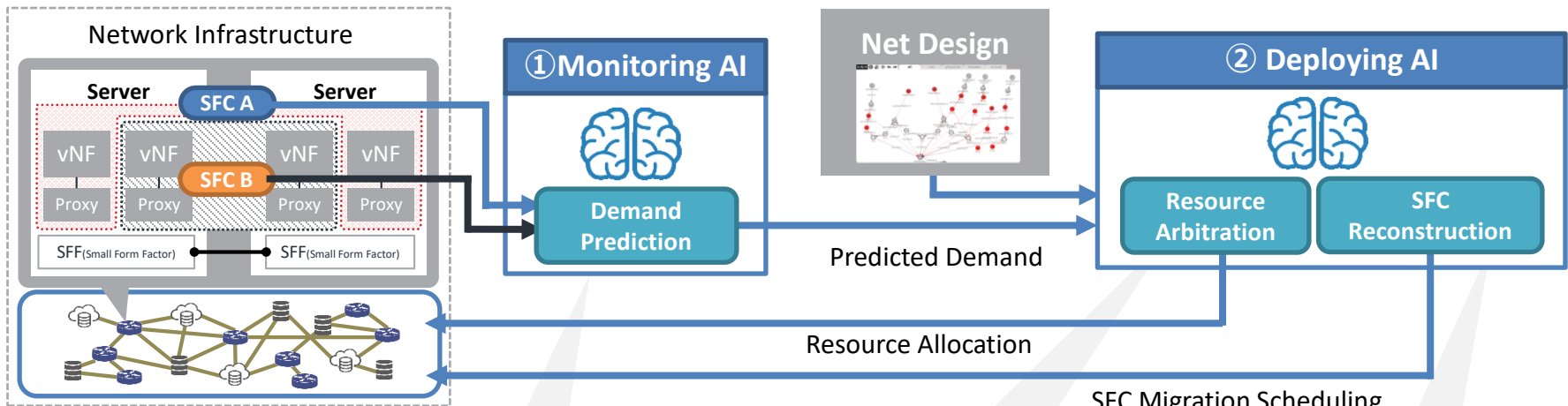
- Minimizes number of reconstructions based on time series analysis
- Determines locations to migrate service functions in seconds



SF = Service Function

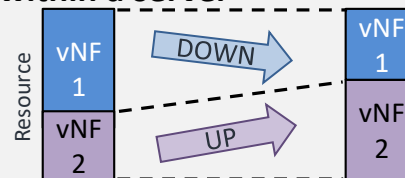
Dynamic adjustment of computing resources

Automatic AI-based control ensures resource **arbitration** for high-priority in seconds, VNF **migration** time minimization, and quality of **service sustention**



Cf) T. Hirayama *et al.*, IEEE NetSoft 2020.

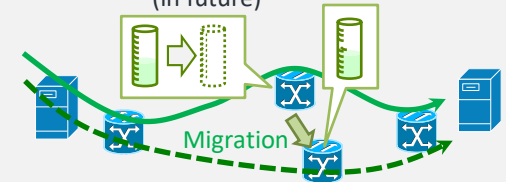
Autonomic resource arbitration among VNFs
(Virtualized Network Functions) **within a server**



Cf) T. Miyazawa *et al.*, IEEE/IFIP NOMS 2018.

Autonomic migration scheduling

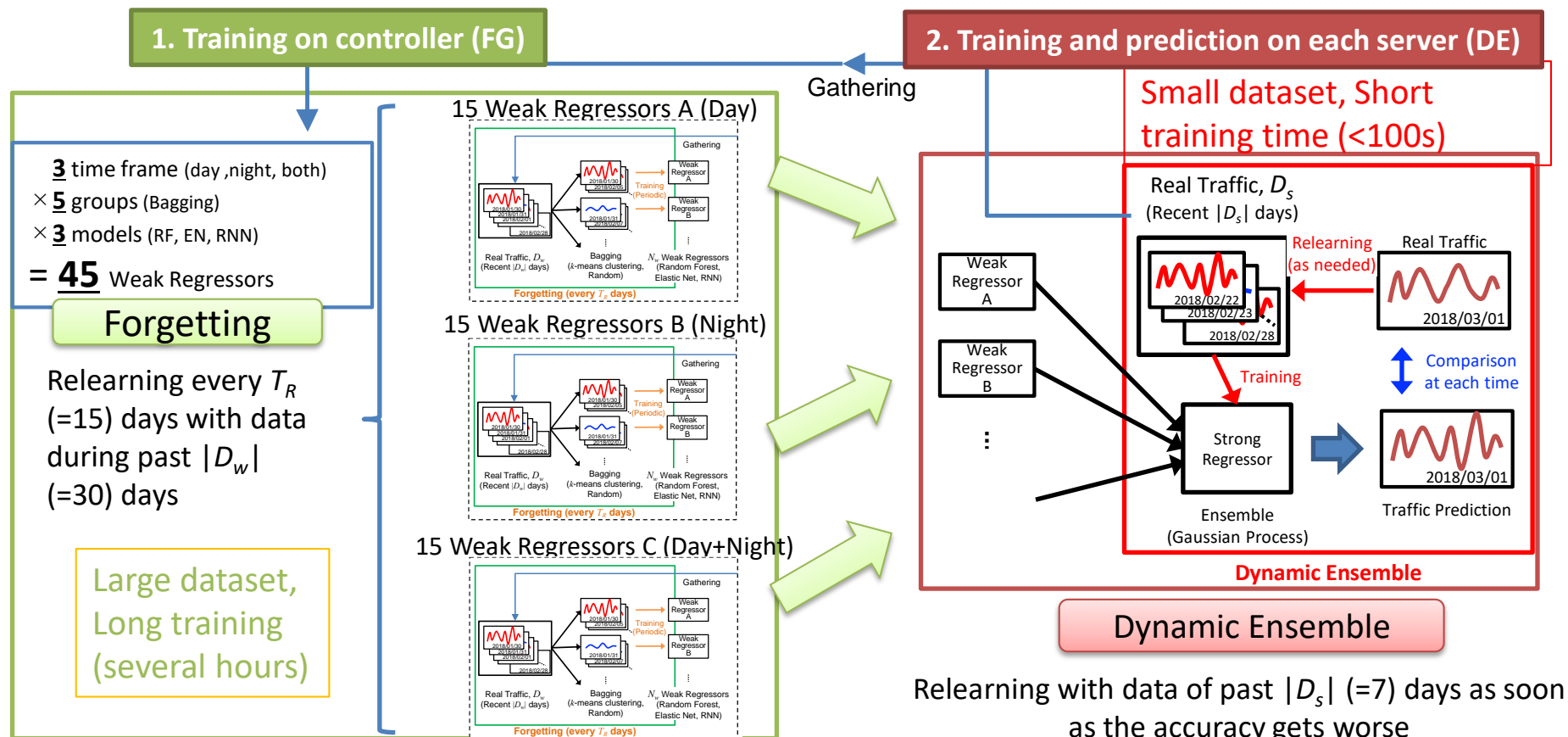
Decide which & where VNF should be migrated to avoid resource shortage (in future)



Encoder-Decoder Recurrent Neural Network

Cf) T. Hirayama *et al.*, IEEE NetSoft 2019.

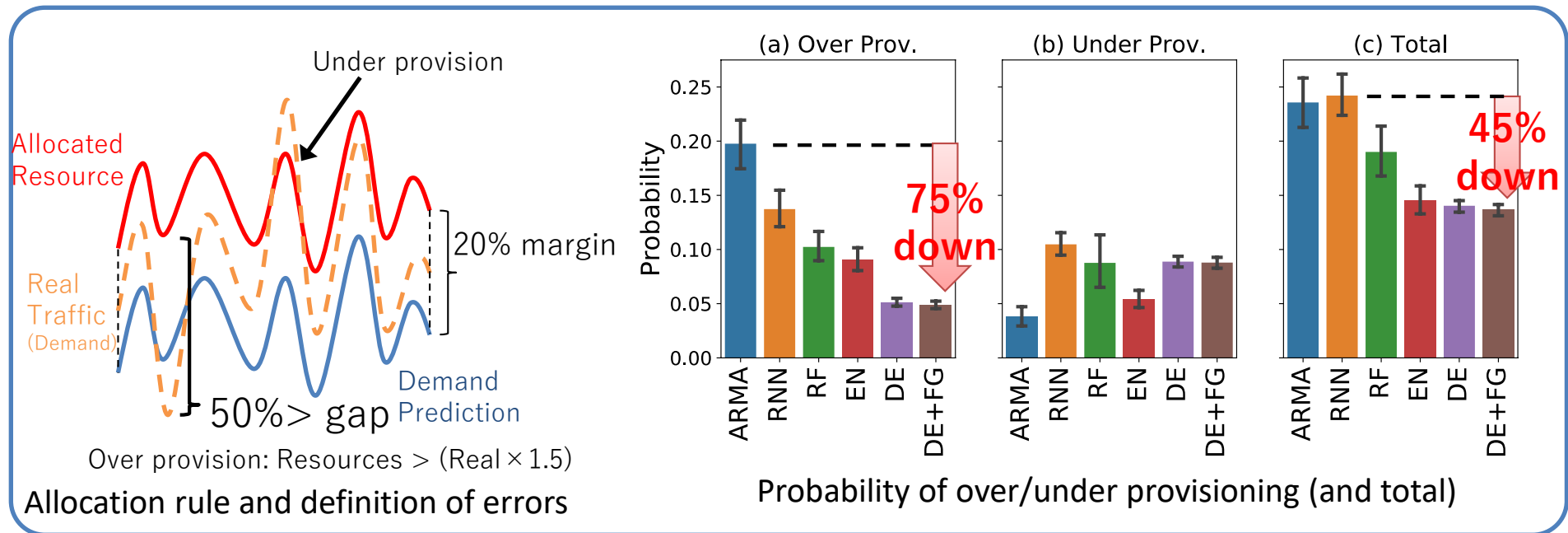
- Prediction framework based on ensemble learning with weak and strong regressors
 - **Weak regressors** trained with traffic dataset from the **whole of network**
 - **Forgetting (FG):** Periodical (week, month) retraining to follow **long-term** trend changes
 - **Strong regressors** trained with traffic dataset gathered on **each server**
 - **Dynamic Ensemble (DE):** Retrain as soon as accuracy declines to **short-term** trend change adoption
- Regressors are trained with the wider-variant data (3-time frames in an example)



Effect of weak-strong regression

Use case of CPU allocation to a VNF

- Regressors are trained with the wider-variant data (3-time frames)
- Allocated CPU resources of 120% of predicted value (i.e. margin of 20%)
- DE+FG framework reduced frequencies of over- and under-provisioning more than 45% in comparison to RNNs and ARMA



RNN: Recurrent Neural Net
 RF: Random Forest, EN: Elastic Net
 DE: Dynamic Ensemble, FG: Forgetting

Cf) T. Hirayama *et al.*, IEEE NetSoft 2020.

ITU Liaisons on AI/ML networks



Industry Specification Group (ISG)

- ZSM (Zero-touch network & service management)
- ENI (Experiential Networked Intelligence)

Linux Foundation



[ISO/IEC JTC 1/SC 42](#)
Artificial intelligence



Conclusion

- ITU-T standardizing mainly requirements, frameworks, architectures of AI/ML supported network control and management.
- Architectural functional details and interface specifications are developed in other SDOs: ETSI, 3GPP, IETF, Linux Foundation, forums and open-source software communities.
- AI/ML supported network research and development work progressing rapidly.

*Thank
You*

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